

Status of Open Audit Recommendations

As of June 30, 2019

A Report by the San José City Auditor
September 2019

www.sanjoseca.gov/auditor

Summary of Results:

- **298** recommendations (**52** issued during first six months of 2019)
- **58** implemented or closed in the last six months
- **144** partly implemented, **96** not implemented
- **72** have target dates within next 6 months
- **70%** of open recommendations over the last 5 years have been implemented or closed

Departments Implemented 58 Recommendations in the Last 6 Months

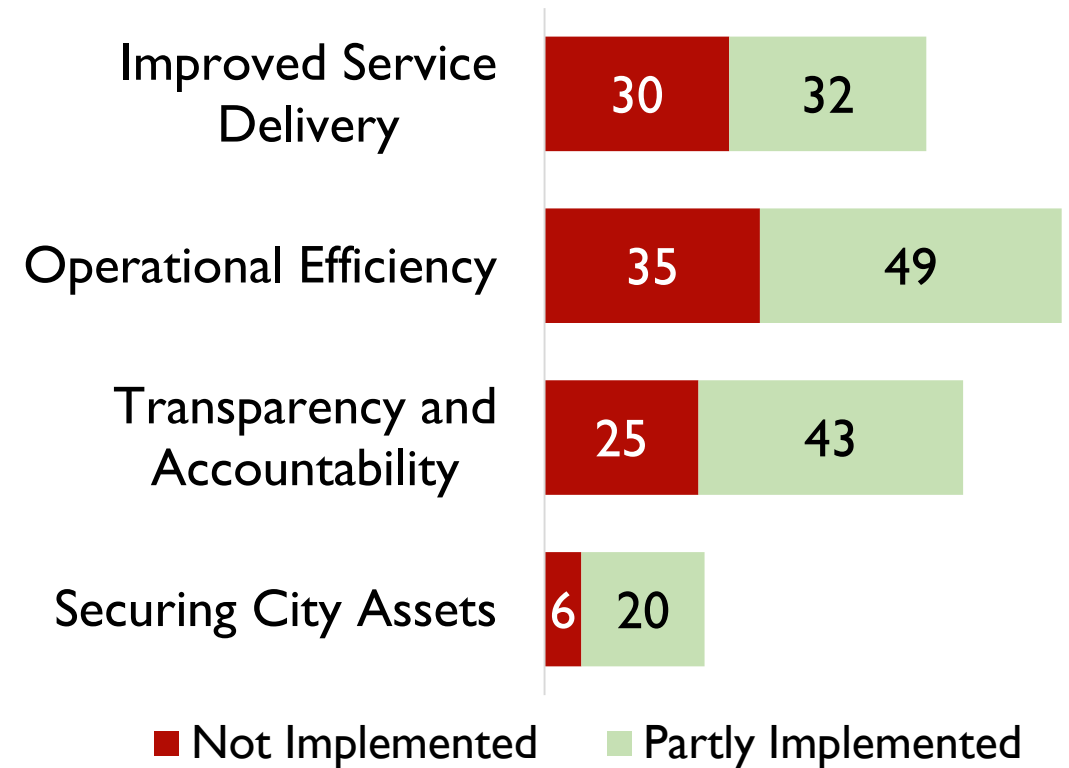
Highlights include:

- ✓ Finance Department online payment portal
- ✓ The Police Activities League's concussion policy and education efforts
- ✓ Fire Department health risk assessments

240 Recommendations are Still Pending

**\$9.1 Million
Potential
Budget Savings**

Open Audit Recommendations by Intended Outcome



Twelve Priority Recommendations

Potential Budget Savings:

- Reduce Police Department comp time balances (*Audit of Police Overtime, 2016*)
- Contract terms with golf course operators (*Audit of Golf Courses, 2015*)
- Office of Equality Assurance software and other resources (*Audit of the Office of Equality Assurance, 2017*)

Twelve Priority Recommendations

Operational Efficiency

- Non-emergency medical call response (*Audit of the Fire Department's Strategic Plan, 2001*)
- Contract management policies (*Audit of Consulting Agreements, 2013*)
- Volunteer program guidelines (*Audit of the City's Use and Coordination of Volunteers, 2016*)

Twelve Priority Recommendations

Improved Service Delivery

- SJFD public education (*Audit of Fire Prevention, 2013*)
- Reactivate Emergency Management CERT program (*Audit of the City's Use and Coordination of Volunteers, 2016*)
- Housing Department outreach to tenants and landlords (*Audit of the Apartment Rent Ordinance, 2016*)

Twelve Priority Recommendations

Citywide Security / Risk Mitigation

- Adopt an Information Security Policy (*Audit of Information Technology General Controls, 2012*)
- Develop and implement a Disaster Data Recovery Plan (*Audit of Information Technology General Controls, 2012*)
- Update expired leases (*Audit of the City's Oversight of Financial Assistance to Community-Based Organizations, 2008*)

Online Interactive Dashboards

