San José City Council 5.23.2023 Item 3.3

Presenters:

Sarah Zárate, Director, Office of Administration, Policy, & Intergovernmental Relations (API) Rosa Tsongtaatarii, Chief Deputy City Attorney, City Attorney's Office Edwin Huertas, Open Government Manager (OGM), API Biennial Ethics Review Why a Biennial Ethics Review?

## San Jose City Charter Section 607

"...The Mayor, on a biennial basis beginning in 1993, shall conduct a review of the City's Code of Ethics including any ordinances relating to ethic standards. The Mayor shall make any recommendation for amendments or changes to the Code of Ethics and its implementing ordinances to the City Council..."

## Biennial Ethics Review Current Recommendations

- Mayor Liccardo submitted Review with 2 categories of recommendations (Public Records Act + Brown Act)
- PRA related recommendations aimed to
  - Address rapidly growing volume of PRAs
  - Identify voluntary protocols to focus requests and explore state action
  - Given growing number of digital records, explore updates to City Policy
- Brown Act
  - Clarify City's interpretation of law

## **Recommendations + Actions - PRAs**

RECOMMENDATION FROM MAYOR LICCARDO		ACTION		
1.	Address rapidly growing volume of PRAs	<ul> <li>Phase 1 – Procure a PRA Technology Solution (GovQA)</li> <li>Phase 2 – Implement GovQA and Make Needed Adjustments</li> <li>Phase 3 – Policy Updates to City Policy Manual 6.1.1</li> </ul>		
2.	<i>Identify voluntary protocols to focus requests and explore state action</i>	<ul> <li>City Staff 1) communicate with requestors to better understand parameters, and 2) direct requesters to City records depositories (i.e. GILES system, SJPermits, etc.).</li> <li>OGM will update CSJ Public Records and GovQA Records Center websites to 1) reflect voluntary instructions requesters can consider when requesting records, and 2) provide hyperlinks to City records depositories.</li> <li>** RE: Exploring State Action – Unless Council wishes to assign priority, advocacy to CPRA changes is not an active IGR focus, as there is no appetite at State level.</li> </ul>		
3.	Given growing number of digital records, explore updates to City Policy	<ul> <li>Changes to City Policy Manual 6.1.5 already in progress, as these are tied to Audit Recommendations #17-04-09 and #17-04-10 (<i>expected completion 2023</i>).</li> </ul>		

### **Recommendations + Actions - PRAs**



**Q** FAQs

Department

🞝 Login

What is the California Public Records Act?



### Recommendations + Actions - PRAs

#### A REQUEST IS SUBMITTED TO THE CITY

Requesters are encouraged to submit their requests via the City's GovQA Records Center, but can also submit via email, phone call, letters, etc.

#### REQUEST IS ASSIGNED TO DEPARTMENT BY REQUESTED + ASSESSED BY CITY STAFF

- Single Department (Any)
- Multi-Department (CMO)
- Mayor's Office + Council (Clerk's Office)

#### **GOVQA RECORDS CENTER**

#### REQUEST IS PROCESSED BY CITY STAFF

- Acknowledgement
- Clarification (if needed)
- Search + Collection
- Redaction/Exemption
   Review (w/ CAO as needed)
- Close-Out + Production Delivery

## REQUEST IS CLOSED OUT



## **Recommendations + Actions - PRAs**

RECOMMENDATION FROM MAYOR LICCARDO			ACTION		
1.	Address rapidly growing volume of PRAs	•	Phase 1 – Procure a PRA Technology Solution (GovQA) Phase 2 – Implement GovQA and Make Needed Adjustments Phase 3 – Policy Updates to City Policy Manual 6.1.1		
2.	Identify voluntary protocols to focus requests and explore state action	•	Currently, City Staff 1) communicate with requestors to better understand parameters, and 2) direct requesters to City records depositories (i.e. GILES system, SJPermits, etc.) when appropriate		
		•	OGM will update CSJ Public Records and GovQA Records Center websites to 1) reflect <i>voluntary</i> instructions requesters can consider when requesting records, and 2) provide hyperlinks to City records depositories		
		•	Exploring State Action — Advocacy for CPRA changes is not a City Council Legislative Priority; no appetite at State level; City Council can prioritize		
3.	Given growing number of digital records, explore updates to City Policy	•	Changes to City Policy Manual 6.1.5 already in progress, as these are tied to Audit Recommendations #17-04-09 and #17-04-10 ( <i>expected completion 2023</i> ).		

## **Recommendations + Actions - PRAs**

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3.	Given growing number of digital records, explore updates to City Policy	•	Changes to City Policy Manual 6.1.5 already in progress, as part of Audit Recommendations #17-04-09 and #17-04-10 ( <i>expected completion 2023</i> )

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# **Council Actions**

(a)Accept the report on the Biennial Ethics Review.

 (b)Approve an ordinance amending Section 12.21.430 of Chapter 12.21 of Title 12 of the San José Municipal Code to clarify the appeal process for a Public Records Act request.

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